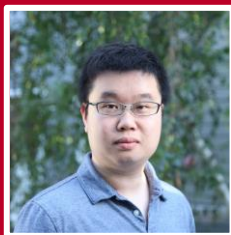


Confidence Regularized Self-Training



Yang Zou



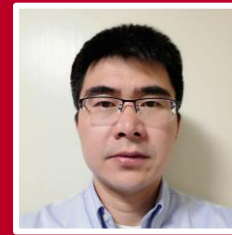
Zhiding Yu



Xiaofeng Liu



Vijayakumar
Bhagavatula



Jinsong Wang



Carnegie Mellon University



nVIDIA.



General Motors

Unsupervised Domain Adaptation (UDA)

Image
classification

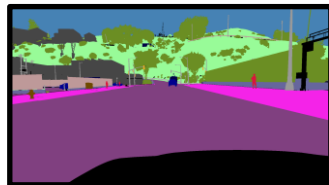


Car

Adaptation



Semantic
segmentation



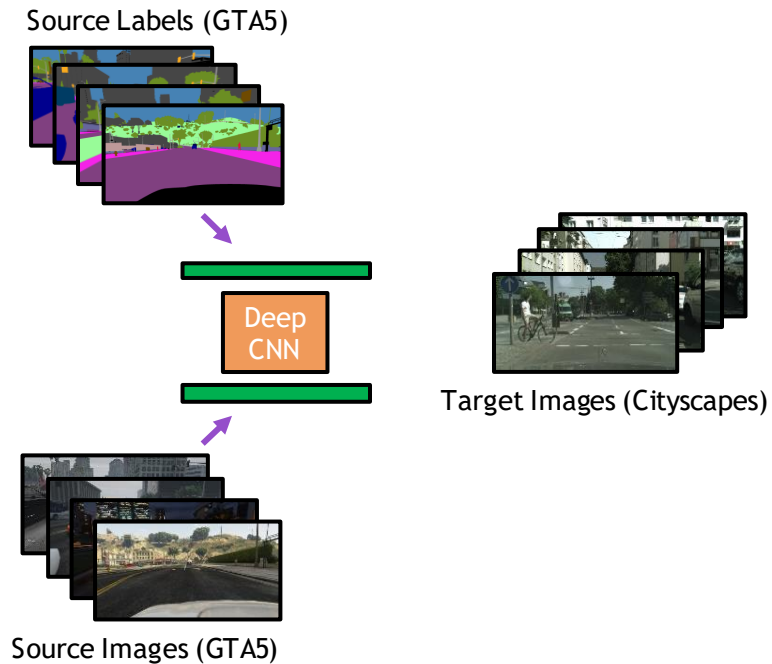
Adaptation



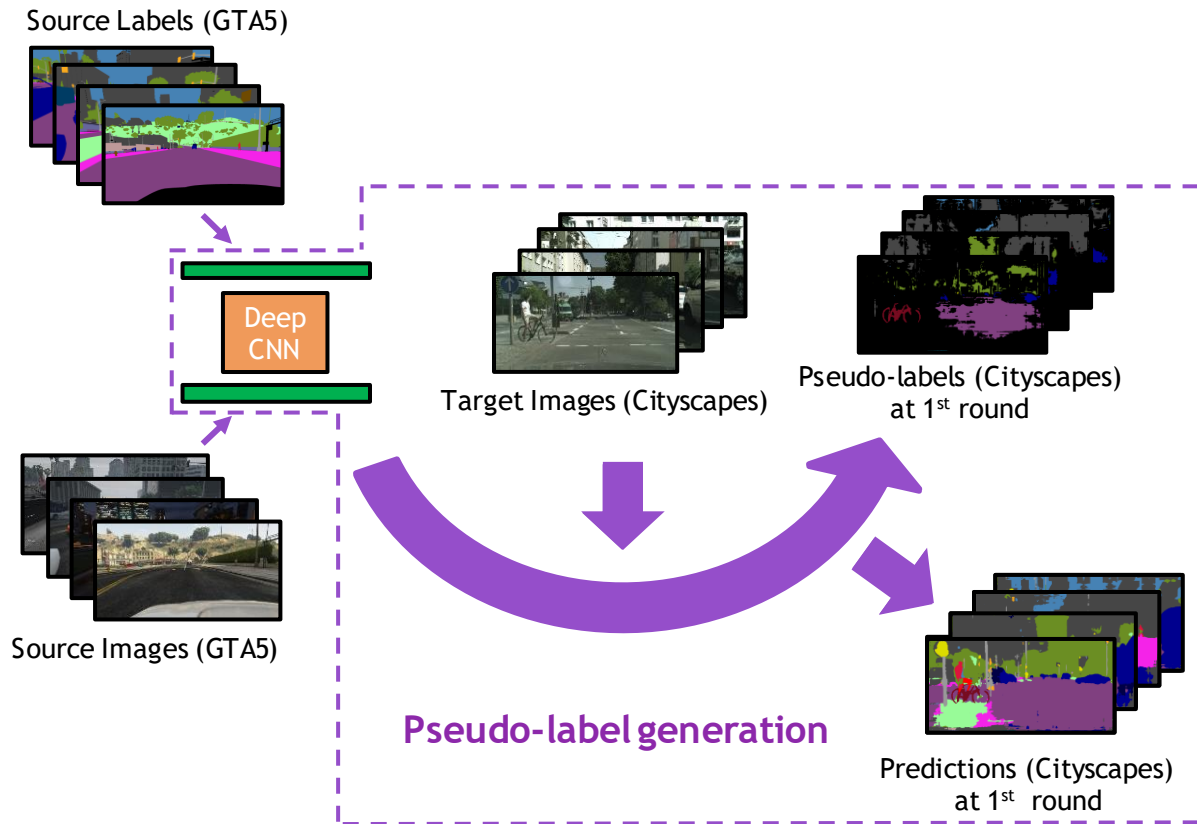
Source Domain (Labeled)

Target Domain (Unlabeled)

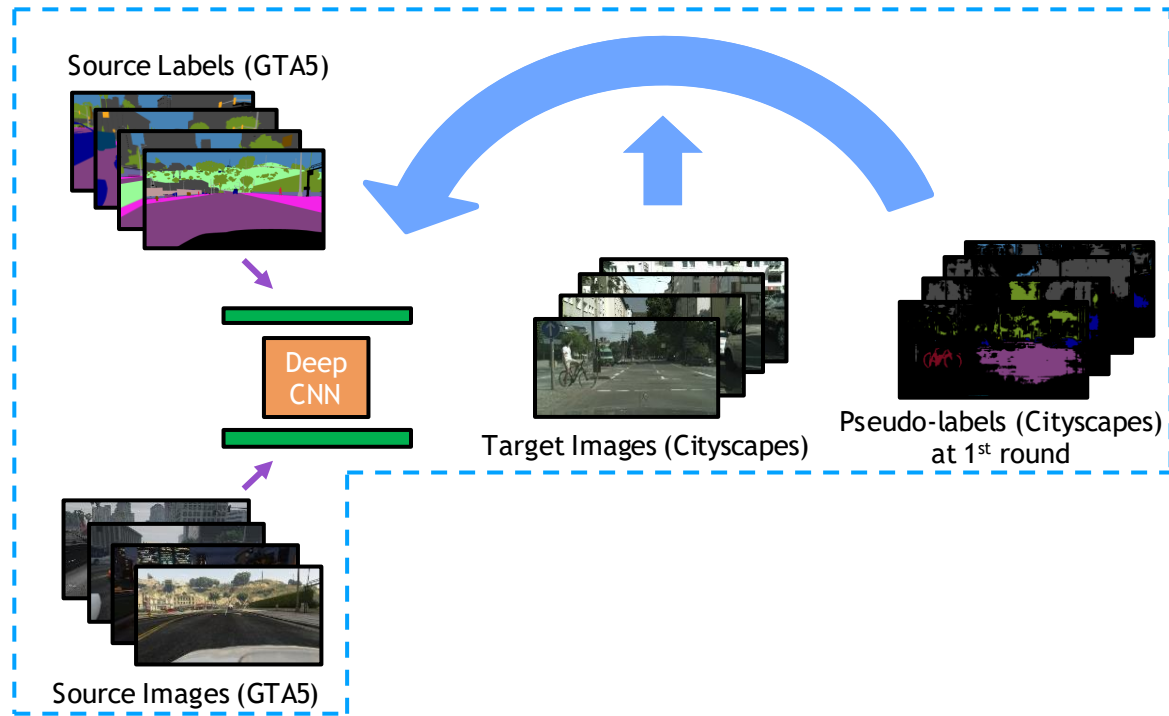
UDA through Deep Self-Training



UDA through Deep Self-Training

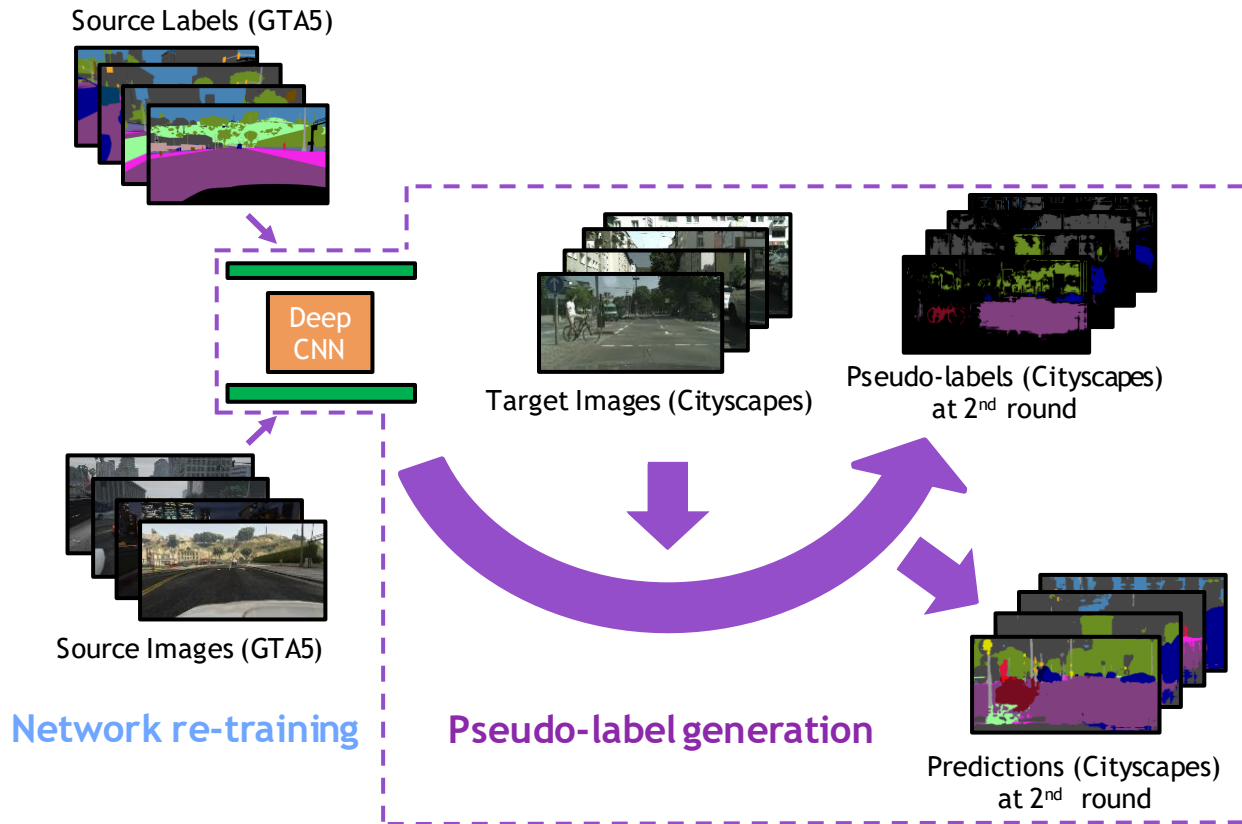


UDA through Deep Self-Training

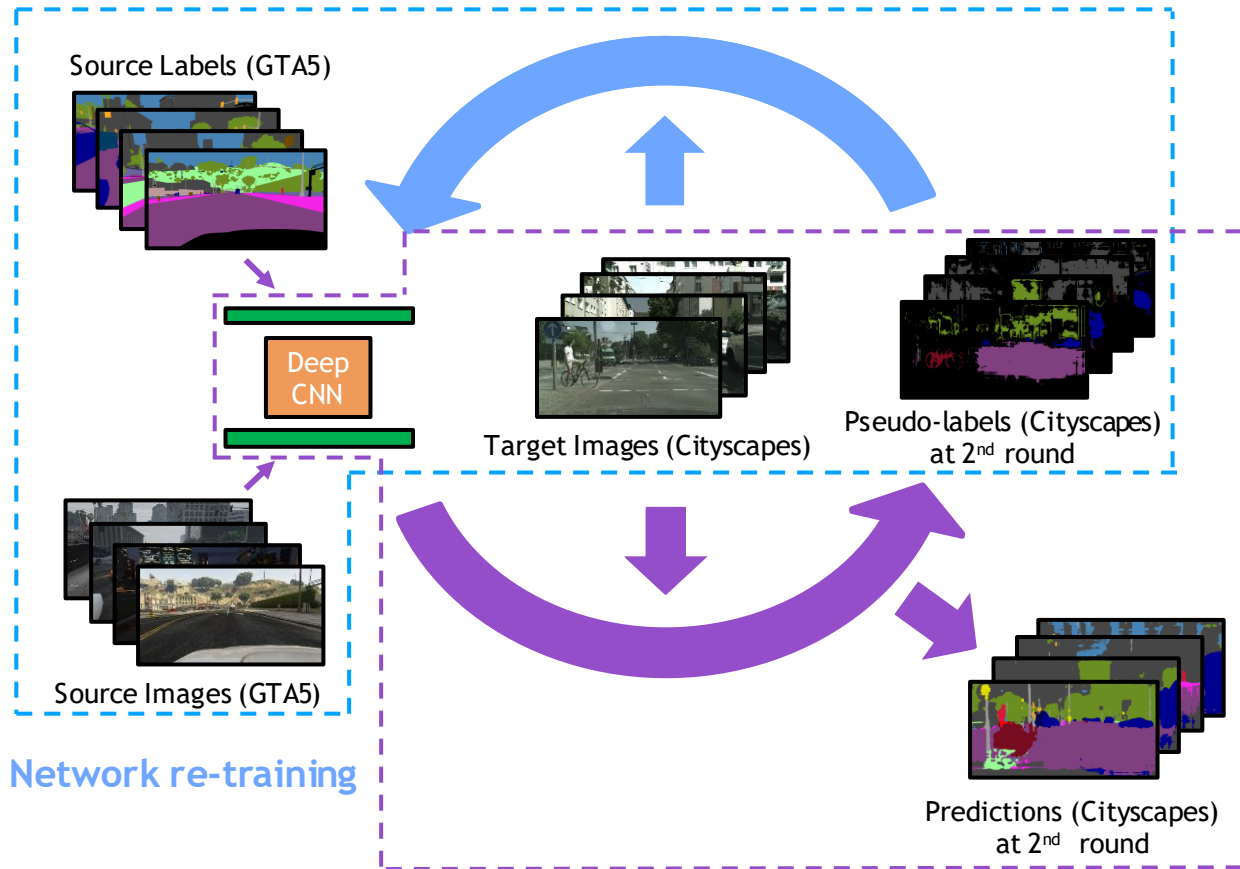


Network re-training

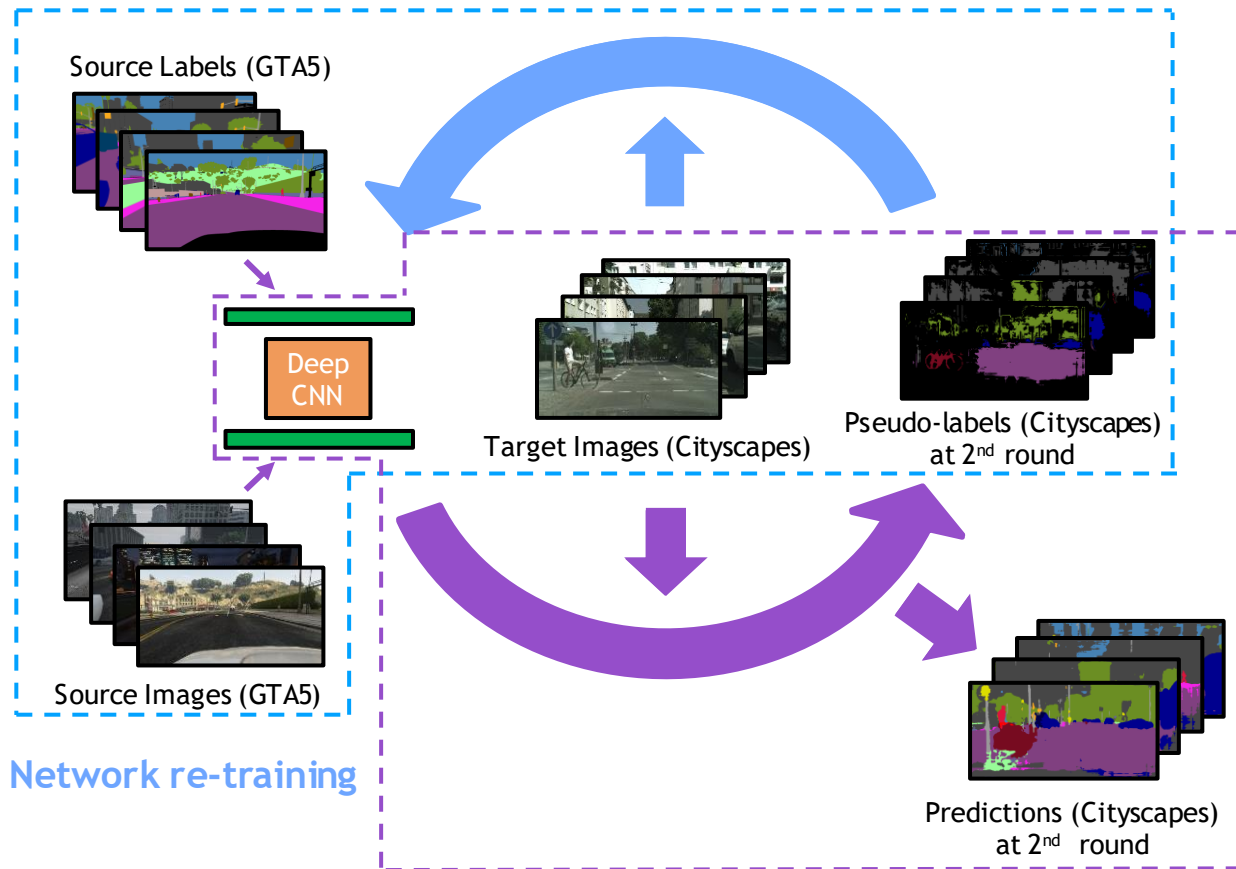
UDA through Deep Self-Training



UDA through Deep Self-Training



UDA through Deep Self-Training



Before Adaptation



After Adaptation

Class-Balanced Self-Training (CBST)

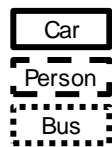
$$\min_{\mathbf{w}, \hat{\mathbf{Y}}_T} \mathcal{L}_{CB}(\mathbf{w}, \hat{\mathbf{Y}}_T) = - \sum_{s \in S} \sum_{k=1}^K y_s^{(k)} \log p(k|\mathbf{x}_s; \mathbf{w}) - \sum_{t \in T} \sum_{k=1}^K \hat{y}_t^{(k)} \log \frac{p(k|\mathbf{x}_t; \mathbf{w})}{\lambda_k}$$

$$\text{s.t. } \hat{\mathbf{y}}_t = (\hat{y}_t^{(1)}, \dots, \hat{y}_t^{(K)}) \in \Delta^{K-1} \cup \{0\}, \forall t$$

$$\lambda_k > 0$$

where: \mathbf{x} : input sample \mathbf{p} : class predication vector \mathbf{y} : label vector $\hat{\mathbf{y}}$: pseudo-label vector
 \mathbf{w} : network parameters s : source sample index t : target sample index Δ^{K-1} : probability simplex

$$\hat{y}_t^{(k)*} = \begin{cases} 1, & \text{if } k = \arg \max_k \left\{ \frac{p(k|\mathbf{x}_t; \mathbf{w})}{\lambda_k} \right\} \\ & \text{and } p(k|\mathbf{x}_t; \mathbf{w}) > \lambda_k \\ 0, & \text{otherwise} \end{cases}$$



Balanced softmax

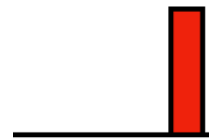
$$\frac{p(k|\mathbf{x}_t; \mathbf{w})}{\lambda_k}$$

Pseudo-label
generation



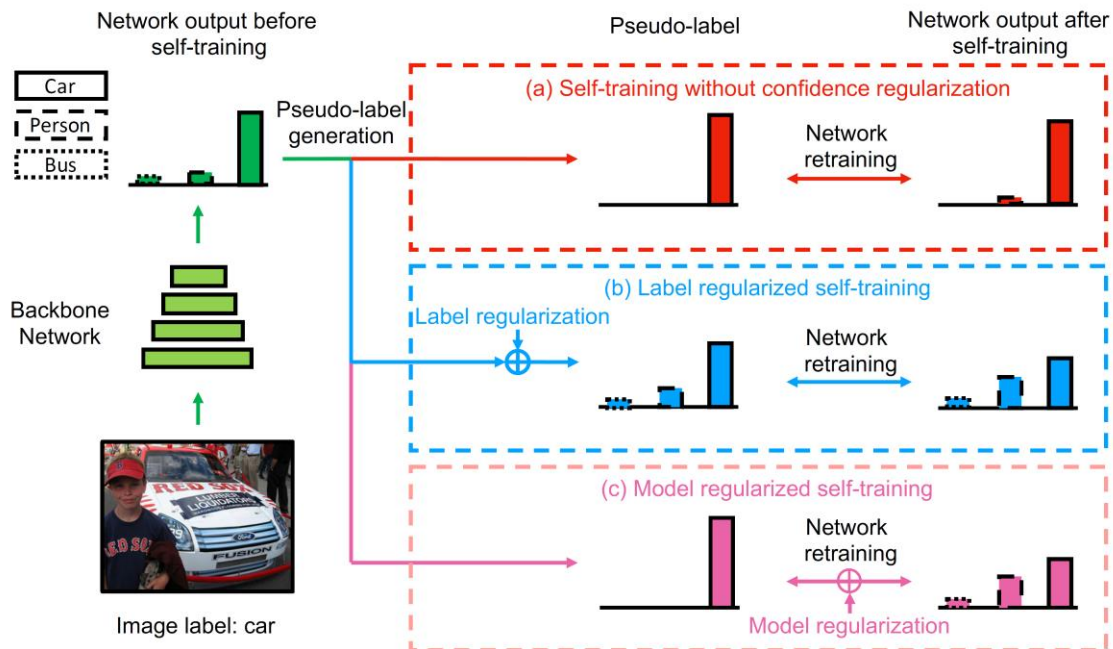
Pseudo-label $\hat{\mathbf{y}}^*$

Network
re-training



Network output
after self-training

Confidence Regularized Self-Training (CRST)



Label Regularized Self-Training (LR)

$$\min_{\mathbf{w}, \hat{\mathbf{Y}}_T} \mathcal{L}_{LR}(\mathbf{w}, \hat{\mathbf{Y}}_T) = - \sum_{s \in S} \sum_{k=1}^K y_s^{(k)} \log p(k|\mathbf{x}_s; \mathbf{w}) - \sum_{t \in T} \left[\sum_{k=1}^K \hat{y}_t^{(k)} \log \frac{p(k|\mathbf{x}_t; \mathbf{w})}{\lambda_k} - \alpha r_c(\hat{\mathbf{y}}_t) \right]$$

$$s.t. \hat{\mathbf{y}}_t = (\hat{y}_t^{(1)}, \dots, \hat{y}_t^{(K)}) \in \Delta^{K-1} \cup \{\mathbf{0}\}, \forall t$$

$$\lambda_k > 0$$

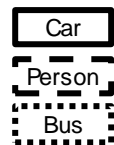
where: α : regularizer weight

$$\mathcal{C}(\hat{\mathbf{y}}_t) = -\hat{y}_t^{(k)} \sum_{k=1}^K \log \frac{p(k|\mathbf{x}_t; \mathbf{w})}{\lambda_k} + \alpha r_c(\hat{\mathbf{y}}_t)$$

$$\hat{\mathbf{y}}_t^\dagger = \arg \min_{\hat{\mathbf{y}}_t} \mathcal{C}(\hat{\mathbf{y}}_t)$$

$$s.t. \hat{\mathbf{y}}_t \in \Delta^{(K-1)}, \forall t$$

$$\hat{\mathbf{y}}_t^* = \begin{cases} \hat{\mathbf{y}}_t^\dagger, & \text{if } \mathcal{C}(\hat{\mathbf{y}}_t^\dagger) < \mathcal{C}(\mathbf{0}) \\ \mathbf{0}, & \text{otherwise} \end{cases}$$



Balanced softmax

$$\frac{p(k|\mathbf{x}_t; \mathbf{w})}{\lambda_k}$$

Pseudo-label generation

$\alpha = 0$



Pseudo-label $\hat{\mathbf{y}}^*$

Network re-training

$\alpha = 0$



Network output after self-training

Model Regularized Self-Training (MR)

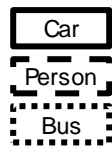
$$\min_{\mathbf{w}, \hat{\mathbf{Y}}_T} \mathcal{L}_{MR}(\mathbf{w}, \hat{\mathbf{Y}}_T) = - \sum_{s \in S} \sum_{k=1}^K y_s^{(k)} \log p(k|\mathbf{x}_s; \mathbf{w}) - \sum_{t \in T} \left[\sum_{k=1}^K \hat{y}_t^{(k)} \log \frac{p(k|\mathbf{x}_t; \mathbf{w})}{\lambda_k} - \alpha r_c(p(\mathbf{x}_t; \mathbf{w})) \right]$$

$$s.t. \quad \hat{\mathbf{y}}_t = (\hat{y}_t^{(1)}, \dots, \hat{y}_t^{(K)}) \in \Delta^{K-1} \cup \{\mathbf{0}\}, \forall t$$

$$\lambda_k > 0$$

where: α : regularizer weight

$$\min_{\mathbf{w}} - \sum_{t \in T} \left[\sum_{k=1}^K \hat{y}_t^{(k)} \log p(k|\mathbf{x}_t; \mathbf{w}) - \alpha r_c(p(\mathbf{x}_t; \mathbf{w})) \right]$$



Balanced softmax

$$\frac{p(k|\mathbf{x}_t; \mathbf{w})}{\lambda_k}$$

Pseudo-label
generation

$\alpha = 0$



Pseudo-label $\hat{\mathbf{y}}^*$

Network
re-training

$\alpha = 0$



Network output
after self-training

Proposed Confidence Regularizers

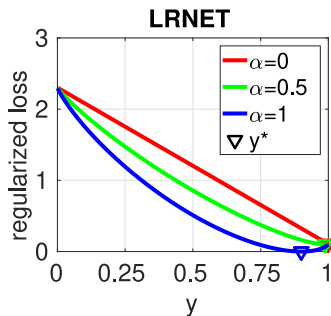
LR-Entropy (LRENT) $r_c(\hat{\mathbf{y}}_t) = \sum_{k=1}^K \hat{y}_t^{(k)} \log(\hat{y}_t^{(k)})$

Pseudo-label solver $\hat{y}_t^{(i)\dagger} = \frac{\left(\frac{p(i|\mathbf{x}_t)}{\lambda_k}\right)^{\frac{1}{\alpha}}}{\sum_{k=1}^K \left(\frac{p(k|\mathbf{x}_t)}{\lambda_k}\right)^{\frac{1}{\alpha}}}$

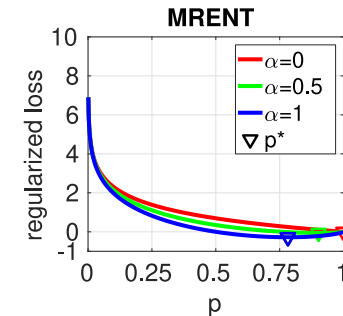
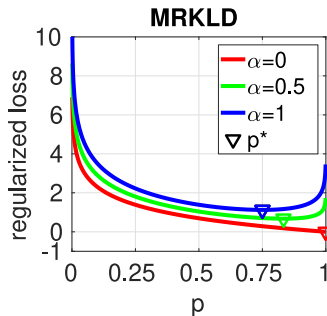
MR-KLDiv (MRKLD) $r_c(p(\mathbf{x}_t; \mathbf{w})) = - \sum_{k=1}^K \frac{1}{K} \log p(k|\mathbf{x}_t)$

MR-Entropy (MRENT) $r_c(p(\mathbf{x}_t; \mathbf{w})) = \sum_{k=1}^K p(k|\mathbf{x}_t) \log p(k|\mathbf{x}_t)$

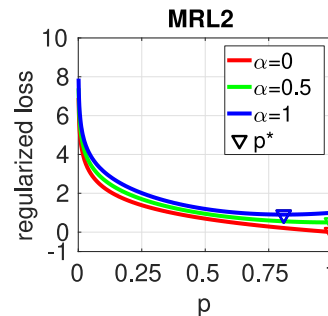
MR-L2 (MRL2) $r_c(p(\mathbf{x}_t; \mathbf{w})) = \sum_{k=1}^K p(k|\mathbf{x}_t)^2$



Pseudo-label generation loss
vs. probability



Regularized retraining loss
vs. probability



Experiment: Quantitative Results

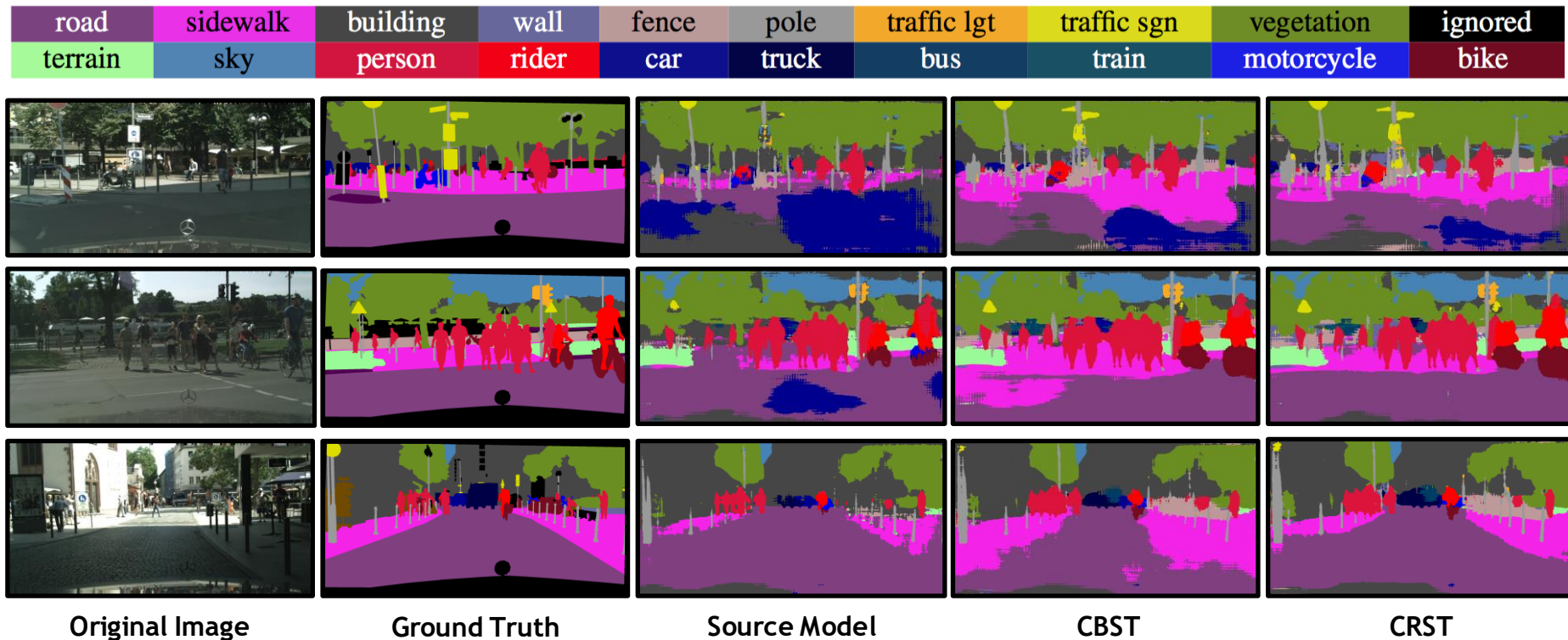
Results on SYNTHIA-> Cityscapes (mIoU* - 13 class)

Method	Backbone	Road	SW	Build	Wall*	Fence*	Pole*	TL	TS	Veg.	Sky	PR	Rider	Car	Bus	Motor	Bike	mIoU	mIoU*
Source	DRN-105	14.9	11.4	58.7	1.9	0.0	24.1	1.2	6.0	68.8	76.0	54.3	7.1	34.2	15.0	0.8	0.0	23.4	26.8
MCD [51]		84.8	43.6	79.0	3.9	0.2	29.1	7.2	5.5	83.8	83.1	51.0	11.7	79.9	27.2	6.2	0.0	37.3	43.5
Source	DeepLabv2	55.6	23.8	74.6	—	—	—	6.1	12.1	74.8	79.0	55.3	19.1	39.6	23.3	13.7	25.0	—	38.6
AdaptSegNet [60]		84.3	42.7	77.5	—	—	—	4.7	7.0	77.9	82.5	54.3	21.0	72.3	32.2	18.9	32.3	—	46.7
AdvEnt [63]	DeepLabv2	85.6	42.2	79.7	8.7	0.4	25.9	5.4	8.1	80.4	84.1	57.9	23.8	73.3	36.4	14.2	33.0	41.2	48.0
Source	ResNet-38	32.6	21.5	46.5	4.8	0.1	26.5	14.8	13.1	70.8	60.3	56.6	3.5	74.1	20.4	8.9	13.1	29.2	33.6
CBST [69]		53.6	23.7	75.0	12.5	0.3	36.4	23.5	26.3	84.8	74.7	67.2	17.5	84.5	28.4	15.2	55.8	42.5	48.4
Source	DeepLabv2	64.3	21.3	73.1	2.4	1.1	31.4	7.0	27.7	63.1	67.6	42.2	19.9	73.1	15.3	10.5	38.9	34.9	40.3
CBST		68.0	29.9	76.3	10.8	1.4	33.9	22.8	29.5	77.6	78.3	60.6	28.3	81.6	23.5	18.8	39.8	42.6	48.9
CRST		67.7	32.2	73.9	10.7	1.6	37.4	22.2	31.2	80.8	80.5	60.8	29.1	82.8	25.0	19.4	45.3	43.8	50.1

Results on GTA5 -> Cityscapes

Method	Backbone	Road	SW	Build	Wall	Fence	Pole	TL	TS	Veg.	Terrain	Sky	PR	Rider	Car	Truck	Bus	Train	Motor	Bike	mIoU
Source	DRN-26	42.7	26.3	51.7	5.5	6.8	13.8	23.6	6.9	75.5	11.5	36.8	49.3	0.9	46.7	3.4	5.0	0.0	5.0	1.4	21.7
CyCADA [23]		79.1	33.1	77.9	23.4	17.3	32.1	33.3	31.8	81.5	26.7	69.0	62.8	14.7	74.5	20.9	25.6	6.9	18.8	20.4	39.5
Source	DRN-105	36.4	14.2	67.4	16.4	12.0	20.1	8.7	0.7	69.8	13.3	56.9	37.0	0.4	53.6	10.6	3.2	0.2	0.9	0.0	22.2
MCD [51]		90.3	31.0	78.5	19.7	17.3	28.6	30.9	16.1	83.7	30.0	69.1	58.5	19.6	81.5	23.8	30.0	5.7	25.7	14.3	39.7
Source	DeepLabv2	75.8	16.8	77.2	12.5	21.0	25.5	30.1	20.1	81.3	24.6	70.3	53.8	26.4	49.9	17.2	25.9	6.5	25.3	36.0	36.6
AdaptSegNet [60]		86.5	36.0	79.9	23.4	23.3	23.9	35.2	14.8	83.4	33.3	75.6	58.5	27.6	73.7	32.5	35.4	3.9	30.1	28.1	42.4
AdvEnt [63]	DeepLabv2	89.4	33.1	81.0	26.6	26.8	27.2	33.5	24.7	83.9	36.7	78.8	58.7	30.5	84.8	38.5	44.5	1.7	31.6	32.4	45.5
Source	DeepLabv2	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	29.2
FCAN [67]		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	46.6
Source	DeepLabv2	71.3	19.2	69.1	18.4	10.0	35.7	27.3	6.8	79.6	24.8	72.1	57.6	19.5	55.5	15.5	15.1	11.7	21.1	12.0	33.8
CBST		91.8	53.5	80.5	32.7	21.0	34.0	28.9	20.4	83.9	34.2	80.9	53.1	24.0	82.7	30.3	35.9	16.0	25.9	42.8	45.9
CRST		91.0	55.4	80.0	33.7	21.4	37.3	32.9	24.5	85.0	34.1	80.8	57.7	24.6	84.1	27.8	30.1	26.9	26.0	42.3	47.1
Source	ResNet-38	70.0	23.7	67.8	15.4	18.1	40.2	41.9	25.3	78.8	11.7	31.4	62.9	29.8	60.1	21.5	26.8	7.7	28.1	12.0	35.4
CBST [69]		86.8	46.7	76.9	26.3	24.8	42.0	46.0	38.6	80.7	15.7	48.0	57.3	27.9	78.2	24.5	49.6	17.7	25.5	45.1	45.2
CRST		84.5	47.7	74.1	27.9	22.1	43.8	46.5	37.8	83.7	22.7	56.1	56.8	26.8	81.7	22.5	46.2	27.5	32.3	47.9	46.8
CBST-SP	ResNet-38	85.6	55.1	76.9	26.8	23.4	38.9	47.1	46.9	83.4	25.5	68.7	45.6	15.7	79.7	27.7	50.3	38.2	33.4	44.6	48.1
CRST-SP		90.8	46.0	79.9	27.4	23.3	42.3	46.2	40.9	83.5	19.2	59.1	63.5	30.8	83.5	36.8	52.0	28.0	36.8	46.4	49.2
CRST-SP-MST		91.7	45.1	80.9	29.0	23.4	43.8	47.1	40.9	84.0	20.0	60.6	64.0	31.9	85.8	39.5	48.7	25.0	38.0	47.0	49.8

Experiment: Qualitative Results (GTA->Cityscapes)



Conclusions and Future Works

Conclusions

- Compared with supervised learning, self-training is an under-determined problem (EM with latent variables).
- Our work shows the importance of confidence regularizations as inductive biases to help under-constrained problems such as unsupervised domain adaptation and semi-supervised learning.
- CRST is still aligned with entropy minimization. The proposed confidence regularization only serves as a safety measure to prevent over self-training/entropy minimization.
- MR-KLD is most recommended in practice for its efficiency and good performance.

Future Works

- This work could potentially inspire many other meaningful regularizations/inductive biases for similar problems.



Thank you!