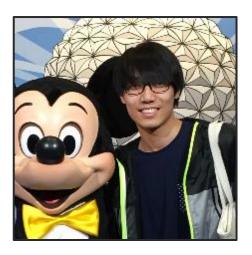
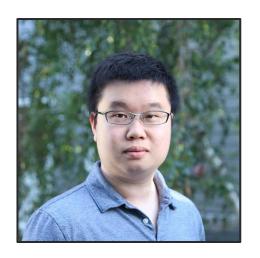




Beidi Chen, Rice



Weiyang Liu, Georgia Tech



Zhiding Yu, NVIDIA



Jan Kautz, NVIDIA



Anshumali Shrivastava, Rice



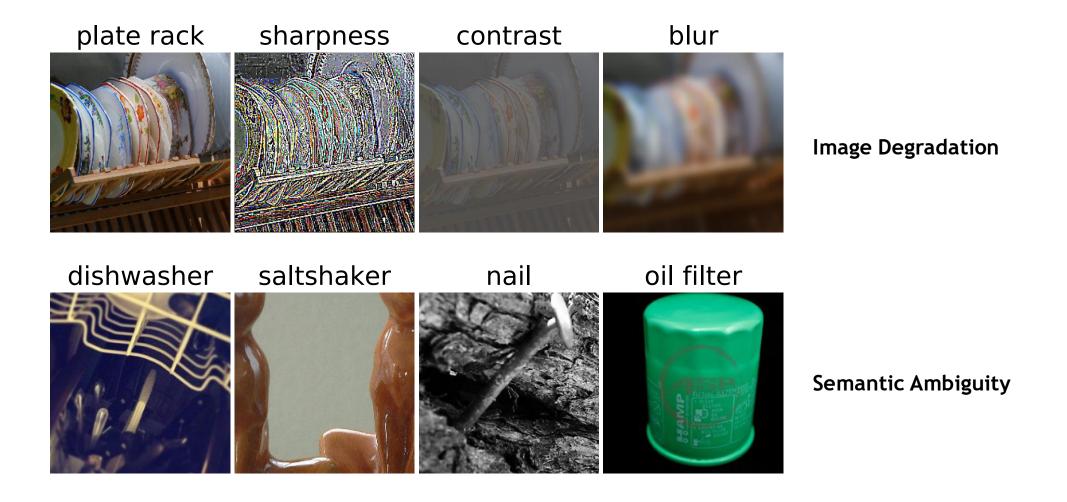
Animesh Garg, NVIDIA



Anima Anandkumar, NVIDIA



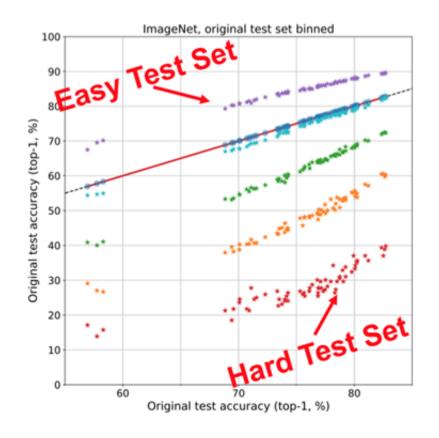
### **Human Visual Hardness**



## Human Selection Freq (HSF): A Visual Hardness Proxy

#### **Human Labeling Interface**





---- Ideal reproducibility

Model accuracy

Linear fit

★ Bin [0,0.2)

★ Bin [0.2,0.4)

Bin [0.4,0.6)

★ Bin [0.6,0.8)

\* Bin [0.8,1.0]

### Gap between Human Recognition and CNNs

#### **Hard** for Human but **Easy** for CNNs







**Easy for Human but Hard for CNNs** 



Nail

Softmax

0.93

**HSF** 0.2

Oil Filter

0.998

0.2

**Golf Ball** 

0.001

1.0

Radio

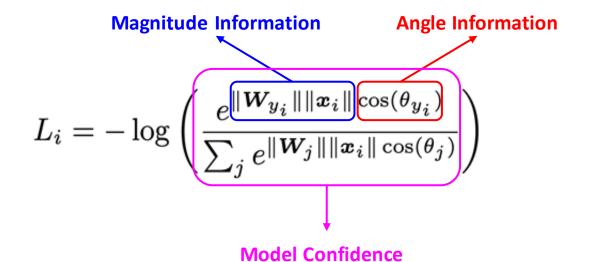
0.001

1.0



## **Softmax Cross-Entropy Loss**

$$L = \frac{1}{N} \sum_{i} L_{i} = \frac{1}{N} \sum_{i} -\log \left( \frac{e^{f_{y_{i}}}}{\sum_{j} e^{f_{j}}} \right)$$



## Angular Visual Hardness (AVH)

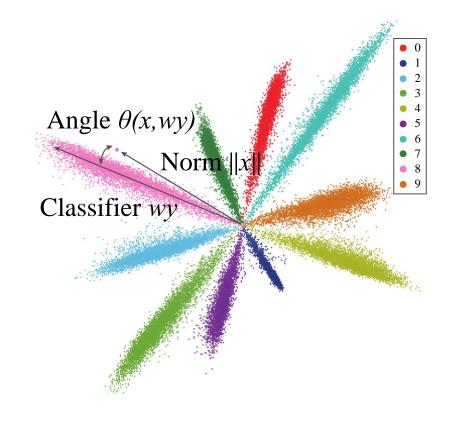
Given a sample x with label y:

$$AVH(x) = \frac{\mathcal{A}(x, w_y)}{\sum_{i=1}^{C} \mathcal{A}(x, w_i)}$$

where,

$$\mathcal{A}(\boldsymbol{u}, \boldsymbol{v}) = \arccos(\frac{\langle \boldsymbol{u}, \boldsymbol{v} \rangle}{\|\boldsymbol{u}\| \|\boldsymbol{v}\|})$$

 $w_i$  is the classifier for the *i*-th class.

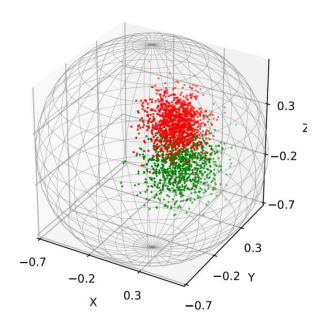


#### Theoretical Foundation:

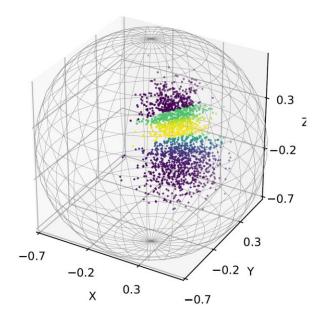
Soudry et al, The Implicit Bias of Gradient Descent on Separable Data, ICLR18



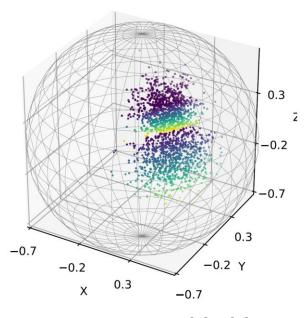
# Toy Example: AVH vs. | |x||



Raw data



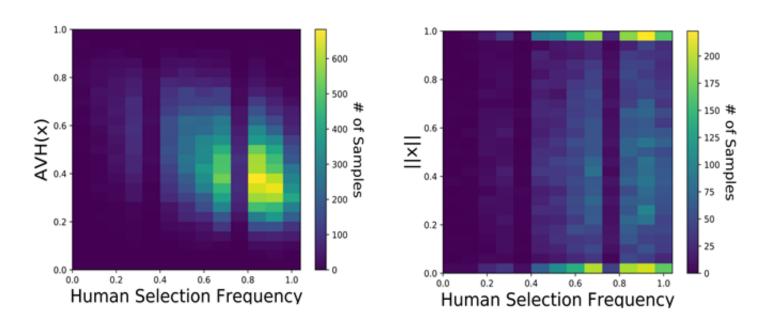
**Heat map of AVH** 

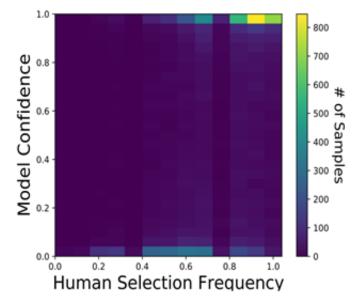


Heat map of ||x||



### Correlation between Different Measures and HSF



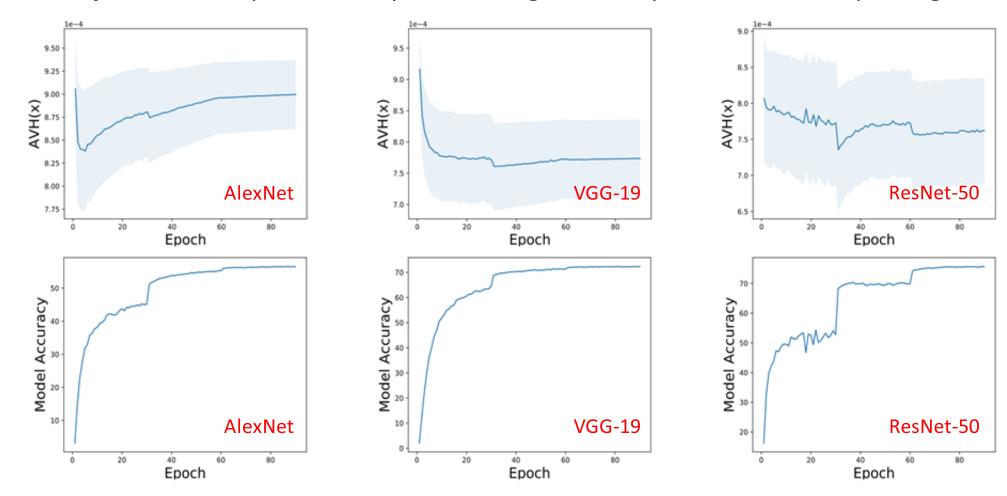


#### Spearman rank correlations

	z-score	Total Coef	[0, 0.2]	[0.2, 0.4]	[0.4, 0.6]	[0.6, 0.8]	[0.8, 1.0]
Number of Samples	-	29987	837	2732	6541	11066	8811
AVH	0.377	0.36	0.228	0.125	0.124	0.103	0.094
Model Confidence	0.337	0.325	0.192	0.122	0.102	0.078	0.056
$\ \mathbf{x}\ _2$	-	0.0017	0.0013	0.0007	0.0005	0.0004	0.0003

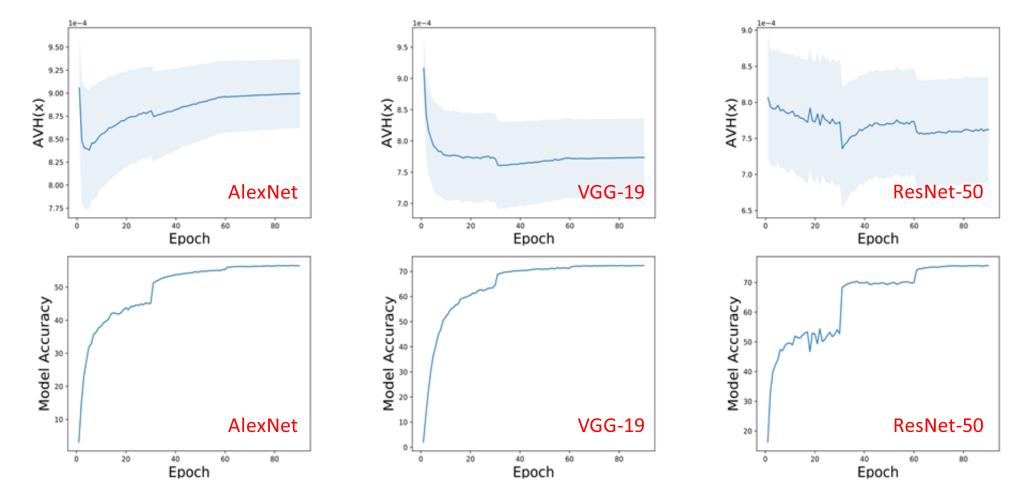


**Discovery 1 -** AVH hits plateau early even though accuracy or loss is still improving



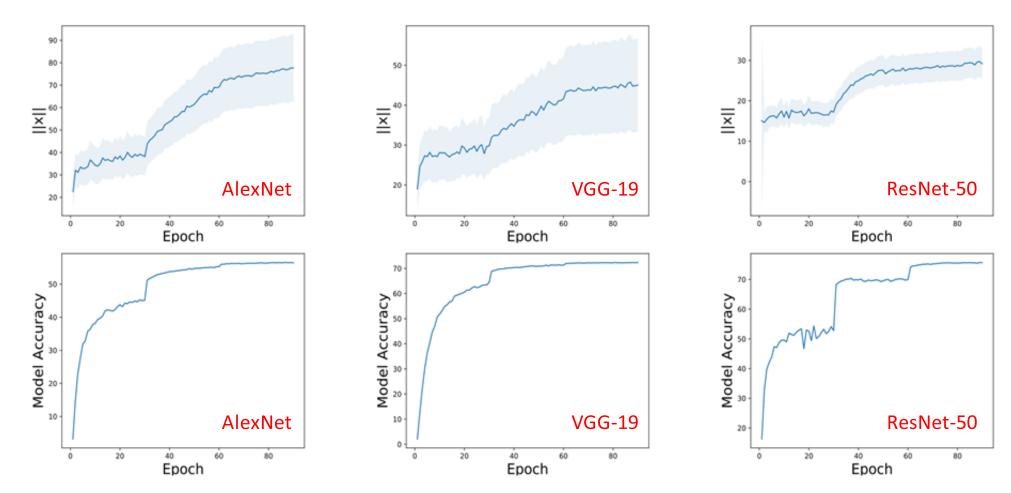


#### Discovery 2 - AVH is an indicator of model's generalization ability



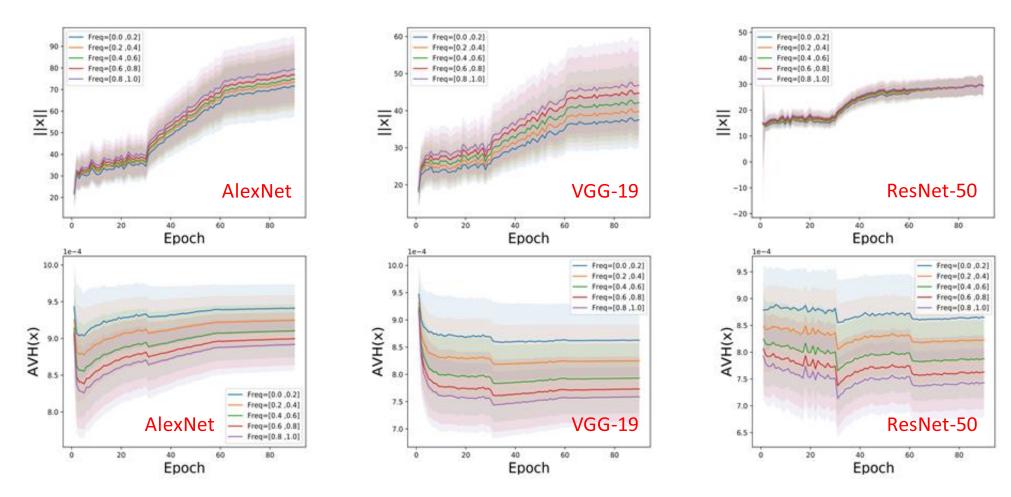


Discovery 3 - The norm of feature embeddings keeps increasing during training



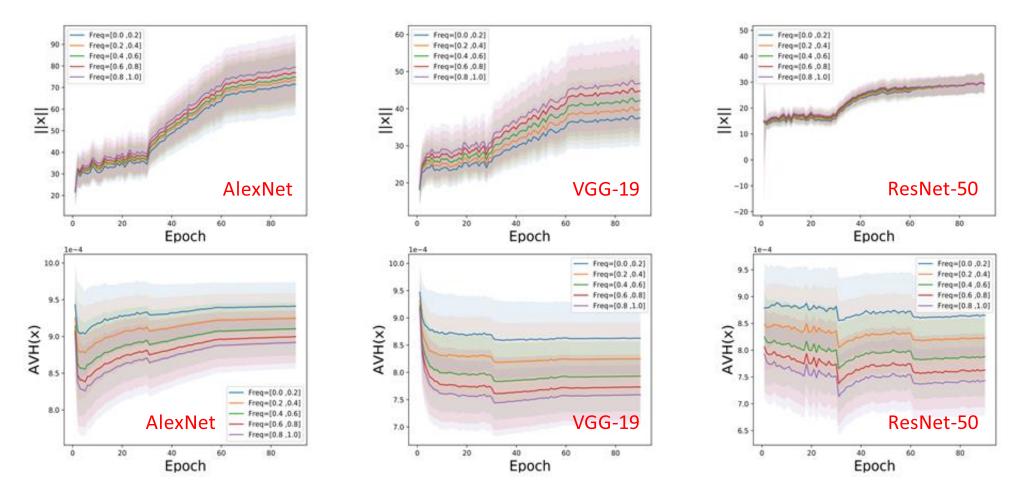


**Discovery 4** - Correlation between AVH and human selection freq holds across models





**Discovery 5** - Correlation between norm and human selection frequency is not consistent





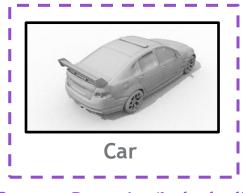
## Conjecture on training dynamic of CNNs

- Softmax cross-entropy loss will first optimize the angles among different classes while the norm will fluctuate and increase very slowly.
- The angles become more stable and change very slowly while the norm increases rapidly.
- Easy examples: the angles get decreased enough for correct classification, the softmax cross-entropy loss can be well minimized by increasing the norm.
- Hard examples: the plateau is cause by unable to decrease the angle to correctly classify examples or increase the norms otherwise hurting loss.



## Application I: Self-Training for Domain Adaptation





Source Domain (Labeled)





Target Domain (Unlabeled)

#### **CBST**

$$\hat{y}_t^{(k)*} = \begin{cases} 1, & \text{if } k = \underset{c}{\operatorname{arg max}} \{ \frac{p(c|\mathbf{x}_t; \mathbf{w})}{\lambda_c} \} \\ & \text{and } p(k|\mathbf{x}_t; \mathbf{w}) > \lambda_k \\ 0, & \text{otherwise} \end{cases}$$

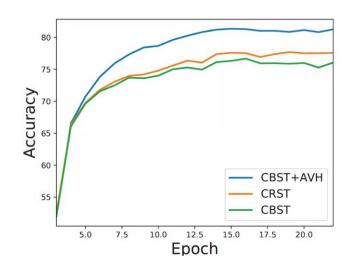
$$\mathcal{AVC}(c|\mathbf{x}; \mathbf{w}) = \frac{\pi - \mathcal{A}(\mathbf{x}, \mathbf{w}_c)}{\sum_{k=1}^{K} (\pi - \mathcal{A}(\mathbf{x}, \mathbf{w}_k))}$$

$$\hat{y}_{t}^{(k)*} = \begin{cases} 1, & \text{if } k = \arg\max_{c} \{\frac{p(c|\mathbf{x}_{t}; \mathbf{w})}{\lambda_{c}}\} \\ & \text{and } p(k|\mathbf{x}_{t}; \mathbf{w}) > \lambda_{k} \\ 0, & \text{otherwise} \end{cases}$$

$$\hat{y}_{t}^{(k)*} = \begin{cases} 1, & \text{if } k = \arg\max_{c} \{\frac{p(c|\mathbf{x}_{t}; \mathbf{w})}{\lambda_{c}}\} \\ \text{and } \mathcal{AVC}(k|\mathbf{x}_{t}; \mathbf{w}) > \beta_{k} \\ 0, & \text{otherwise} \end{cases}$$

**Improved** selection

## **Application I: Self-Training for Domain Adaptation**







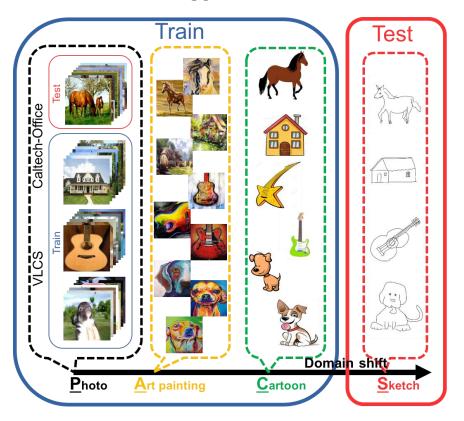
Examples chosen by **AVH but not Softmax** 

Method	Aero	Bike	Bus	Car	Horse	Knife	Motor	Person	Plant	Skateboard	Train	Truck	Mean
Source (Saito et al., 2018)	55.1	53.3	61.9	59.1	80.6	17.9	79.7	31.2	81.0	26.5	73.5	8.5	52.4
MMD (Long et al., 2015b)	87.1	63.0	76.5	42.0	90.3	42.9	85.9	53.1	49.7	36.3	<b>85.8</b>	20.7	61.1
DANN (Ganin et al., 2016)	81.9	77.7	82.8	44.3	81.2	29.5	65.1	28.6	51.9	54.6	82.8	7.8	57.4
ENT (Grandvalet & Bengio, 2005)	80.3	75.5	75.8	48.3	77.9	27.3	69.7	40.2	46.5	46.6	79.3	16.0	57.0
MCD (Saito et al., 2017b)	87.0	60.9	83.7	64.0	88.9	79.6	84.7	76.9	88.6	40.3	83.0	25.8	71.9
ADR (Saito et al., 2018)	87.8	79.5	83.7	65.3	92.3	61.8	88.9	73.2	87.8	60.0	85.5	32.3	74.8
Source (Zou et al., 2019)	68.7	36.7	61.3	70.4	67.9	5.9	82.6	25.5	75.6	29.4	83.8	10.9	51.6
CBST (Zou et al., 2019)	87.2	78.8	56.5	55.4	85.1	79.2	83.8	77.7	82.8	88.8	69.0	72.0	76.4
CRST (Zou et al., 2019)	88.0	79.2	61.0	60.0	87.5	81.4	86.3	78.8	85.6	86.6	73.9	68.8	78.1
Proposed	93.3	80.2	78.9	60.9	88.4	89.7	88.9	79.6	89.5	86.8	81.5	60.0	81.5



## Application II: AVH Loss for Domain Generalization

#### **PACS Dataset**



$$\mathcal{L}_{AVH} = \sum_{i} \frac{\exp\left(s(\pi - \mathcal{A}(\mathbf{x}_{i}, \mathbf{w}_{y_{i}}))\right)}{\sum_{k=1}^{K} \exp\left(s(\pi - \mathcal{A}(\mathbf{x}_{i}, \mathbf{w}_{k}))\right)}$$

Method	Painting	Cartoon	Photo	Sketch	Avg
AlexNet (Li et al., 2017)	62.86	66.97	89.50	57.51	69.21
MLDG (Li et al., 2018)	66.23	66.88	88.00	58.96	70.01
MetaReg (Balaji et al., 2018)	69.82	70.35	91.07	59.26	72.62
Feature-critic (Li et al., 2019)	64.89	71.72	89.94	61.85	72.10
Baseline CNN-9	66.46	67.88	89.70	51.72	68.94
CNN-9 + AVH	71.56	69.25	89.93	60.86	72.90



# Thanks You!